



ಕರ್ನಾಟಕ ಸರ್ಕಾರ

# ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರ

(ISO 9001 : 2015 ದಿಂದ ಮಾನ್ಯತೆ ಪಡೆದಿದೆ)

# 542, 5ನೇ ಮಹಡಿ, 2ನೇ ಹಂತ, ಬಹುಮಹಡಿಗಳ ಕಟ್ಟಡ, ಬೆಂಗಳೂರು - 560 001

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ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರ  
Karnataka Evaluation Authority

ಸಂಖ್ಯೆ: ಕಇಎ 47 ಆಡಳಿತ 2020

ದಿನಾಂಕ: 21-12-2020

## ಸಭಾ ಆಹ್ವಾನ ಪತ್ರ

ವಿಷಯ: ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರದ ಸಾಮಾನ್ಯ ಮಂಡಳಿಯ 7ನೇ ಸಭೆಯ ಕುರಿತು.

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ಮೇಲ್ಕಂಡ ವಿಷಯಕ್ಕೆ ಸಂಬಂಧಿಸಿದಂತೆ, ಸರ್ಕಾರದ ಮಾನ್ಯ ಮುಖ್ಯ ಕಾರ್ಯದರ್ಶಿಯವರ ಅಧ್ಯಕ್ಷತೆಯಲ್ಲಿ ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರದ ಸಾಮಾನ್ಯ ಮಂಡಳಿಯ 7ನೇ ಸಭೆಯನ್ನು ದಿನಾಂಕ: 24.12.2020 ರಂದು ಬೆಳಿಗ್ಗೆ 09.00 ಗಂಟೆಗೆ ಕೊಠಡಿ ಸಂಖ್ಯೆ:313, 3ನೇ ಮಹಡಿ, ವಿಧಾನಸೌಧ, ಬೆಂಗಳೂರು ಇಲ್ಲಿ ವಿಡಿಯೋ ಸಂವಾದದ ಮೂಲಕ KSWAN STUDIO- Login Credentials VC ID No.: 400140 ನಡೆಸಲು ಏರ್ಪಡಿಸಲಾಗಿದೆ. ಮುಂದುವರೆದು, KSWAN STUDIO Login ಇಲ್ಲದವರು CISCO WEBEX Meeting number: 176 483 5050, Password: 12345 ನ್ನು ಬಳಸಿಕೊಂಡು Login ಆಗಬಹುದಾಗಿದೆ. ಸದರಿ ಸಭೆಗೆ ಎಲ್ಲಾ ಸದಸ್ಯರು ಹಾಜರಾಗುವಂತೆ ಕೋರಲಾಗಿದೆ. ಸಭೆಯ ಕಾರ್ಯಸೂಚಿಯನ್ನು ಇದರೊಂದಿಗೆ ಲಗತ್ತಿಸಲಾಗಿದೆ.

ಆಡಳಿತಾಧಿಕಾರಿ

ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರ

## ಇವರಿಗೆ:

1. ಸರ್ಕಾರದ ಅಪರ ಮುಖ್ಯ ಕಾರ್ಯದರ್ಶಿಗಳು ಮತ್ತು ಅಭಿವೃದ್ಧಿ ಆಯುಕ್ತರು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ವಿಧಾನಸೌಧ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು,
2. ಸರ್ಕಾರದ ಅಪರ ಮುಖ್ಯ ಕಾರ್ಯದರ್ಶಿಗಳು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ಯೋಜನೆ, ಕಾರ್ಯಕ್ರಮ ಸಂಯೋಜನೆ ಮತ್ತು ಸಾಂಖ್ಯಿಕ ಇಲಾಖೆ, ಬಹುಮಹಡಿ ಕಟ್ಟಡ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು,
3. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು, ಆರ್ಥಿಕ ಇಲಾಖೆ, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ವಿಧಾನಸೌಧ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು,
4. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು ಗ್ರಾಮೀಣಾಭಿವೃದ್ಧಿ ಮತ್ತು ಪಂಚಾಯತ್ ರಾಜ್ ಇಲಾಖೆ ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ಬಹುಮಹಡಿ ಕಟ್ಟಡ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು,

5. ಪ್ರಧಾನ ನಿರ್ದೇಶಕರು, ಆಡಳಿತ ತರಬೇತಿ ಸಂಸ್ಥೆ, ಮೈಸೂರು- ಸದಸ್ಯರು,
6. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ಆರೋಗ್ಯ ಮತ್ತು ಕುಟುಂಬ ಕಲ್ಯಾಣ ಇಲಾಖೆ, ವಿಕಾಸಸೌಧ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು,
7. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ಪ್ರಾಥಮಿಕ ಮತ್ತು ಪ್ರೌಢ ಶಿಕ್ಷಣ ಇಲಾಖೆ, ಬಹುಮಹಡಿ ಕಟ್ಟಡ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು,
8. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ತೋಟಗಾರಿಕೆ ಇಲಾಖೆ, ಬಹುಮಹಡಿ ಕಟ್ಟಡ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು,
9. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ಸಮಾಜ ಕಲ್ಯಾಣ ಇಲಾಖೆ, ವಿಕಾಸಸೌಧ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು,
10. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ಸಿಬ್ಬಂದಿ ಮತ್ತು ಆಡಳಿತ ಸುಧಾರಣಾ ಇಲಾಖೆ, ವಿಕಾಸಸೌಧ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು,
11. ನಿರ್ದೇಶಕರು, ಫಿಸ್ಕಲ್ ಪಾಲಿಸಿ ಇನ್ಸ್ಟಿಟ್ಯೂಟ್, ಪಂಚಮುಖಿ ಗಣೇಶ ದೇವಾಸ್ಥಾನ ಹತ್ತಿರ ಕೆಂಗೇರಿ ಅಂಚೆ ಬೆಂಗಳೂರು, ಮೈಸೂರು ರಸ್ತೆ, ಬೆಂಗಳೂರು 560 060,
12. ನಿರ್ದೇಶಕರು, ಆರ್ಥಿಕ ಮತ್ತು ಸಾಂಖ್ಯಿಕ ನಿರ್ದೇಶನಾಲಯ, ನಂ.703, 7ನೇ ಮಹಡಿ, 1ನೇ ಹಂತ, ಬಹುಮಹಡಿ ಕಟ್ಟಡ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು,
13. ಮುಖ್ಯ ಮೌಲ್ಯಮಾಪನಾಧಿಕಾರಿಗಳು, ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರ- ಸದಸ್ಯ ಕಾರ್ಯದರ್ಶಿ.

**ನಾಮ ನಿರ್ದೇಶಿತ ಸದಸ್ಯರು:**

1. ಶ್ರೀ ಎನ್.ಸಿ.ಮುನಿಯಪ್ಪ, ನಿವೃತ್ತ ಭಾ.ಆ.ಸೇ ಅಧಿಕಾರಿಗಳು, ಬೆಂಗಳೂರು.
2. ಪ್ರೊ.ಎಂ.ಎಸ್.ಶ್ರೀರಾಮ್, ನಿರ್ದೇಶಕರು, ಸೆಂಟರ್ ಫಾರ್ ಪಬ್ಲಿಕ್ ಪಾಲಿಸಿ, ಇಂಡಿಯನ್ ಇನ್ಸ್ಟಿಟ್ಯೂಟ್ ಆಫ್ ಮ್ಯಾನೇಜ್‌ಮೆಂಟ್, ಬನ್ನೇರುಘಟ್ಟ, ಬೆಂಗಳೂರು-560 076.
3. ಡಾ|| ಜ್ಯೋತ್ಸಾ ರ್ಘಾ, ನಿರ್ದೇಶಕರು, ಸೆಂಟರ್ ಫಾರ್ ಬಜೆಟ್ ಮತ್ತು ಪಬ್ಲಿಕ್ ಸ್ಟಡೀಸ್, ಮೈತ್ರಿ ಭವನ, ನಂ.4, ಎಮ್.ಎನ್. ಕೃಷ್ಣರಾವ್ ರೋಡ್, ಬಸವನಗುಡಿ, ಬೆಂಗಳೂರು-560 004.

**ಪ್ರತಿಯನ್ನು:**

ಸರ್ಕಾರದ ಮುಖ್ಯ ಕಾರ್ಯದರ್ಶಿಯವರು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ ಹಾಗೂ ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರದ ಸಾಮಾನ್ಯ ಮಂಡಳಿಯ ಮಾನ್ಯ ಅಧ್ಯಕ್ಷರು ಇವರ ಆಪ್ತ ಕಾರ್ಯದರ್ಶಿಯವರಿಗೆ ಕಳುಹಿಸುತ್ತಾ, ಮಾನ್ಯ ಮುಖ್ಯ ಕಾರ್ಯದರ್ಶಿಯವರ ಅವಗಾಹನೆಗೆ ತರಲು ಕೋರಿದೆ.

# **7<sup>th</sup> General Body Meeting of Karnataka Evaluation Authority**

**held on 24<sup>th</sup> December 2020**

## **Agenda Subject -1**

### **Confirmation of the proceedings of the Special General Body and 6<sup>th</sup> meeting of General Body**

To read and confirm the proceedings of the 6<sup>th</sup> meeting of General Body of KEA held on 05-02-2020 enclosed as Annexure I.

## **Agenda Subject -2**

Action Taken Report on the decision taken in the Special General Body and 6<sup>th</sup> meeting of General Body is enclosed as Annexure II.

## **Agenda Subject -3**

### **Progress Report of KEA**

The Annual Report containing KEA initiated activities in 2019-20 as approved in the 22nd meeting of Governing Body of KEA is placed before General Body and is enclosed in Annexure III. **The Design of Annual report is modified on the lines of UNDP and other reports.**

Progress made by KEA during 2019-20 is broadly highlighted as below:

- **The details of Evaluation Studies during 2019-20**

Evaluation Studies Completed- 19

Evaluation Studies In progress- 64

Evaluation Studies Identified- 38

## **New Initiatives**

### **3.1 Special Call for Internship Programme in Govt. of Karnataka**

#### **Innovative capacity building Programme -Post COVID challenges & way forward Internship for Students and Research Scholars**

Govt. of Karnataka through KEA has invited young Post Graduate students/ research scholars to work as interns with the Govt to tackle problems and challenges emerged in Karnataka in the context of COVID -19 by providing support for data analysis, innovative ideas and evidence-based policy inputs. This is an opportunity to young minds in Research and academic institutions to contribute

to the noble cause and thus achieve 'Sab ka Saath Sab ka Vikas' **Karnataka Evaluation Authority is coordinating the Programme.**

Students with good academic record with the background of Development Studies/ Management/Data Science and Data Analytics/Health Management/Social Statistics/ Applied Statistics/ Economics and any other relevant disciplines were invited for internship. The Internship is on unpaid basis, but the students get an opportunity to work with top level Govt. officers/ eminent researchers and academicians. They are working remotely on the assignment given to them for a period of eight weeks. They are given certificate for their valuable contribution. Candidates have registered on Seva Sindhu portal of Karnataka. <http://sevasindhu.karnataka.gov.in> under Planning, Programme Monitoring and Statistics Department, GoK.

### **The Process**

About 600 applications are received and the interns are tagged with the 16 Sustainable Development Goal Committees and Expert members of the committee based on their area of specialization and interest. The interns are from all over India and from IITs, IIMs and universities and Research institutions. Students from US and UK universities also have joined the internship programme. These interns are invited to the webinars on the goals in which the revised strategies and issues in the context of COVID-19 are discussed and the interns express their willingness to work on a particular issue and have been connected with the mentors and the department.

### **Some of the projects taken by the interns are**

- Supply chain management in Agriculture.
- Water quality index in coastal area.
- Matchmaking platform for migrants and the jobs.
- Promoting SHG partnerships in Nutrition project.
- Access to justice-Free legal aid scheme in Karnataka.
- Violence against women- Post COVID Scenario.
- Increasing forest cover in the State.
- Skill gap and Skill requirements -Post Covid Analysis.
- Child Labour – Post Covid challenges.
- Challenges in higher education.

### **Outcomes**

- Capacity building of youths.
- Experience of working with government.

- Data analytics for policy decisions.

## **3.2 Support to Govt. for evidence-based policy making through knowledge partnership with Centre for Open Data Research**

### **Introduction**

Department of Planning, Programme Monitoring and Statistics, Government of Karnataka (PPM & S) generates large volumes of data related to implementation of development schemes for periodically monitoring the human development indicators against funds deployed with the aim of improving human development outcomes. Government of Karnataka is also aligning it with the SDG indicators and develop data analytics applications for better development outcomes and to achieve the SDG targets by 2030. For this purpose, PPMS Dept requires assistance from specialized academic institutions like IIITB and Public Affairs Centre through a dedicated organization like Centre for Open Data Research (CODR).

The proposal is for developing knowledge partnership with Centre for Open Data Research for next five years from 2019-2020 to 2023-2024 to support effective and enabling decision making process for achieving SDG targets and outcomes. **Karnataka Evaluation Authority is the Nodal Agency.**

### **Objectives**

The basic **objectives** are:

- Develop and implement relevant data science applications on a government-wide basis for evidence-based and data-driven policy formulation; programme design; and resource allocation decisions.
- Carry out effective outcome-based impact assessment of select schemes and programmes of the government with considerable funding, using data analytics to enable predictive analysis especially in the health, education and livelihood sectors.
- Ensure successful implementation of the SDG by instituting scientific evaluation and monitoring through the application of data science techniques.
- The priority sectors for implementation during the first phase of five years will focus on the seven sectors pertaining to human development, taking into account NITI Aayog's key focus areas for Artificial Intelligence interventions

in governance. These seven sectors are namely - 1) Women & Child Development, 2) Primary Health, 3) Migration, Skills & Livelihoods, 4) School Education, 5) Agriculture & Climate Change, 6) Social Welfare & Inclusive Development and 7) Urban Development.

- CODR has to specifically provide data analysis of SDG goals for 169 indicators covering all the Government Departments and 1000+ data parameters from DES and other sources. The datasets will include both static and dynamic datasets collected from surveys and online data portals of SDGs from Government of India and Government of Karnataka and data analytics to the Special Development Plan for the development of backward talukas with focus on achievement of SDG targets in Aspirational districts.
- Build capacity in Government Departments to use the data science applications in their regular operations & offer analytics services to all Govt. Departments free of charge.

### **Expected Outcomes**

- Data mining Platform.
- Data Integration-People, Land and Money.
- Evidence based Policy.
- Good governance.
- Attainment of targets SDG-2030.

CODR is the first of its kind Centre that brings together government data, data science technologies, academic excellence and governance applications to help the Government to promote good governance and SDG 2030 agenda.

### **3.3 Major Highlights-2019-20**

#### **Interactions with National and International institutions**

KEA is continuously engaged in knowledge seeking and exchange pursuits. There are visits by distinguished personalities during the year that have resulted in mutual gain.

On August 7<sup>th</sup>, 2019, Dr. A K Shivkumar from UNDP visited KEA in the context of observing country models for evaluation of schemes and programmes at sub national level. He discussed about the evaluation process in KEA and support of KEA to the parent Department in planning and

implementing Sustainable Development Goals. He offered many suggestions for quality enhancement which are effectively implemented by KEA.

### **Visit of Mexico CONEVAL Team and NITI Aayog Team for understanding the functioning of KEA**

KEA has distinguished visit of Dr. Gonzalo Hernandez Licona – a Mexican economist and distinguished scholar in the field of poverty analysis Economic Development and Social program Evaluation to Karnataka State on 26<sup>th</sup> February 2020. He is also founder of National Council for Evaluation of Social Development Policy (CONEVAL). He was accompanied by director Alok Mishra DMEO and representatives from UNICEF. The proposed visit to Karnataka was to showcase the example of Karnataka which is a leading state in the country with a well-functioning M&E system established through Karnataka Evaluation Authority with supporting units in individual Departments.

The rich experience of Dr. Gonzalo in the field of institutionalising evaluations and strengthening local M & E system was useful to State as the state is steadily moving towards evidence-based policy making and output-outcome based model for attaining SDG-2030. **Alok Mishra, Director**, Development Monitoring and Evaluation Office (DMEO) also observed the functioning of KEA and showed keen interest to collaborate with KEA in future discourse. He also expressed that the empanelment and evaluation manuals of KEA will be considered for adoption by DMEO.

**DMEO arranged a webinar on 'How Evaluation Impacts Programme Design and Implementation- The Karnataka Experience on 16<sup>th</sup> December 2020 to present Karnataka Evaluation Authority Model before the Country. (Annexure-IV PPT)**

### **3.4 Monitoring of Evaluation Studies**

- Review Meetings are held every month to review the progress of studies conducted by Evaluation Consultant Organisation. 14 review meetings were held during the period.
- Random Sampling will be done by KEA.
- Presentation of inception reports before the KEA Committee for approval.
- Action taken reports for the recommendations made are reviewed in the KDP meeting.

*The above is placed before the General Body for information.*

#### **Agenda Subject -4**

Audit Report for 2019-20

a) Receipts and Expenditure

b) Assets and Liabilities

The Annual accounts of KEA for the financial year 2019-20 is prepared and has been audited by the CAG Empanelled Chartered Accountant M/s MKPS & Associates, Bangalore.

Accordingly, the statutory audit report for 2019-20 along with Income and Expenditure statement, Assets and Liabilities (enclosed as Annexure-V) are placed before the General Body for approval.

#### **Agenda Subject -5**

#### **Budget for 2021-2022**

<b>Amount in Rs.</b>			
<b>Sl no</b>	<b>Head of account</b>	<b>Nos</b>	<b>Amount required</b>
I	2515-00-101-0-26-101 (Salaries)		3,66,30,588
II	2515-00-103-0-26-103 (General)		
a	Schemes Evaluation 17 ongoing schemes	17	1,12,88,300
b	Proposed 35 New schemes for 2021-22	35	5,24,00,000
c	Office expenses (postage, phone, meeting, advertisement, stationery, Furniture & fixtures)		43,44,000
d	Decision Support System(2020-21)		50,00,000
e	Sustainable Development Goals & Aspirational Disticts		
	a) Meeting, chapter writers & printing of reports		15,00,000
	b) To ATI Mysore for training		50,00,000
f	Payment to CODR		8,00,00,000
g	Payment to SDGCC (UNDP)		2,41,23,104
h	Disha Meetings		15,00,000
	Total (II)		18,51,55,404
	<b>Grand total (salaries &amp; General) (I+II)</b>		<b>22,17,85,992</b>



*The Budget proposals have been submitted to the Finance Department. The same is placed before the General Body for approval.*

## **Agenda Subject – 6**

### **Reports of the Governing Body meetings**

Proceedings of the 21<sup>st</sup> meeting Governing Body of KEA held by circulation in April 2020 and Proceeding of the 22<sup>nd</sup> and 23<sup>rd</sup> meeting of the Governing Body of KEA held on 20-08-2020 and 17-11-2020 respectively are enclosed in **Annexure VI**. The important decisions taken by the Governing Body are as follows:

#### ***21<sup>st</sup> Meeting of Governing Body***

- i. With regard to approval of new studies, in view of the scarcity of funds, the Governing Body approved to take up the studies for which department has made provision of funds for evaluation on priority basis and for remaining studies to be taken up as per the availability of budget/funds.
- ii. Approved the Empanelment of Three Consultant Organisations and two Independent Assessors with KEA. They are:-

#### **Consultant Organizations:**

1. M/s Centre for Sustainable Development-Bengaluru
2. M/s Foundation for Research in Health System-Bengaluru
3. M/s Bijapur Integrated Rural Development Society-Hungund

#### **Independent Assessors:**

1. Dr.M.Chandra Poojary
  2. Dr.Moona Mohammed
- iii. Approved to Modify the scoring format in Annexure-A in Request for Proposal(RFP) tender document and Timeline for approval of Inception Report.
  - iv. Approved the Extension of services of Statutory Auditor M/sMKPS Associates, Bengaluru.
  - v. Approved the Extension of EPF to staff appointed on contract basis after taking concurrence from Finance Department.
  - vi. Approved for Selection of Manpower Agency to KEA as per KTPP Act.

#### ***22<sup>nd</sup> Meeting of Governing Body***

- i. Approved the total budget estimate of Rs.1147.72 lakhs for the Financial Year 2020-21.
- ii. Approval for selecting Spywell Detective Agency, Bengaluru for supply of service personnel.
- iii. Approved the proposal of calling for Request for Proposal (RFP) for 7 schemes under QCBS method of KTPP Act.
- iv. Approved the extension of tenure of Sri Dinesh.S, Consultant(Training & Translation) and suggested to redesignate the same as Consultant(Training & Co-ordination)
- v. Approved to continue the services of Dr.Chaya Degaonkar as Additional Chief Evaluation Officer in KEA with 5% annual increase.

### ***23rd Meeting of Governing Body***

- i. The Governing Body noted the supplementary budget estimate for additional grants of Rs. 1050.51 Lakhs for the FY 2020-21 and resolved to request the government for sanction of supplementary grants.
- ii. Approved the proposal of calling for Request for Proposal (RFP) for 3 Schemes under QCBS method of KTPP Act.
- iii. Approved the modification of RFP document.

The Governing Body approved to take up the new studies and suggested to take up the studies which have not been evaluated earlier. It was also suggested to write to Higher Education and Social welfare Department to share the funds available for evaluation with KEA.

- iv. Approved empanelment of the 8 Consultant Organizations and 1 Independent Assessor as below.

### **Consultant Organizations:**

1. M/s The Energy and Resource Institute - New Delhi
2. M/s Pricewaterhouse Coopers Pvt. Ltd.- Gurgaon
3. M/s Indian Resource Information & Management Technologies Ltd.- Bengaluru
4. M/s Hyderabad Karnataka Centre for Advanced Learning-Kalaburagi
5. M/s Centre for Symbiosis of Technology Environment and Management (STEM)-Bengaluru
6. M/s Institute of Public Enterprises- Hyderabad
7. M/s Athena Infonomics India Pvt, Ltd.- Chennai
8. M/s Nathan Economic Consulting India Pvt, Ltd.- Chennai

### **Independent Assessors:**

1. Dr. M. Shivamurthy (retd.)

*The above decisions and Proceedings of the Governing Body are placed for information and approval.*

**Agenda Subject -7**

**Report on Evaluation Studies**

Since inception KEA has conducted 145 evaluation studies through Evaluation Consultant Organizations. The studies have focused on Women's issues, agriculture, livelihood and natural resources. Evaluation of schemes promoting human development are getting focus in recent years. Women and Child development Department has more number of studies.

<b>Year</b>	<b>No. of Studies</b>
2011-12	03
2012-13	17
2013-14	16
2014-15	14
2015-16	10
2016-17	30
2017-18	23
2018-19	13
2019-20	18
2020-21(Up to <b>October 2020</b> )	01
<b>Total</b>	<b>145</b>

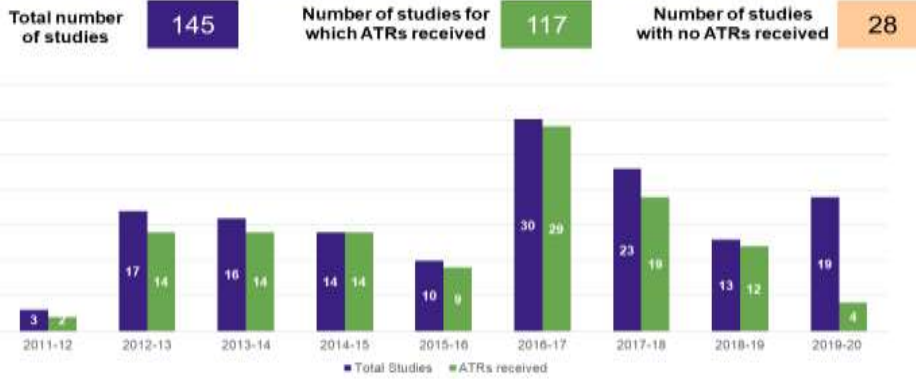
**Agenda Subject -8**

**Action Taken Reports on the Evaluation Reports**

As per the decision of the 19<sup>th</sup> Governing Body meeting held on 03-08-2019, a Committee has been constituted under the Chairpersonship of Principal Secretary to Government, to review Action Taken Reports on Evaluation Studies vide G.O.No. PD 66 PSD 2019, dated: 06-09-2019.

Till now 117 ATRs are received and ATRs for 28 studies are inbalance. The departments who have not submitted the ATRs have been instructed to submit report on the action taken on recommendations of the Evaluation studies. The follow up is in progress.

### Impact of Evaluations- Action Taken on Recommendations (1/2)



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Details of action taken on the recommendations of the Evaluation reports is given at [Annexure VII](#)

*The subject is placed before this General Body for kind information.*

#### **Agenda Subject -9**

**Any other subjects with permission of the chair.**

**Action Taken Report on the decision taken in the Special General Body Meeting of KEA**

Sl.No.	Subject of Special GB	Resolution passed by Special GB	Action Taken on the resolution of the Special GB												
I. Modification of Para 6 of Memorandum of Association of Karnataka Evaluation Authority	The Government had vide its order No. PD 170 PSD 2018, Bangalore, dated 01/01/2019 reconstituted the Governing Body of Karnataka Evaluation Authority. In the reconstituted Governing Body, two academicians and one eminent person for consultancy have been added, while the 'treasurer' has been inadvertently omitted. Therefore, it is necessary to reconstitute the Governing Body to include Treasurer (who is the Administrative Officer of KEA). It is proposed to modify the existing para 6 of Memorandum of Association.	<p align="center"><i>The General Body approved to modify the existing Para 6 of Memorandum of Association (MoA) to read as follows</i></p> <p align="center"><i>'the members of the Governing Body to whom by the rules of the society, the management of its affairs is entrusted are as shown below'.</i></p> <table border="1" data-bbox="1050 711 1810 1399"> <thead> <tr> <th data-bbox="1050 711 1134 857"><b>Sl. No.</b></th> <th data-bbox="1134 711 1596 857"><b>Designation and Address</b></th> <th data-bbox="1596 711 1810 857"><b>Designation in the society</b></th> </tr> </thead> <tbody> <tr> <td align="center" data-bbox="1050 857 1134 1133">1</td> <td data-bbox="1134 857 1596 1133"><i>ACS/Principal Secretary / Secretary to Government, Planning, Programme Monitoring &amp; Statistics Department, M.S. Building, Bengaluru-01.</i></td> <td align="center" data-bbox="1596 857 1810 1133"><i>President</i></td> </tr> <tr> <td align="center" data-bbox="1050 1133 1134 1318">2</td> <td data-bbox="1134 1133 1596 1318"><i>Chief Evaluation Officer, Karnataka Evaluation Authority, M.S. Building, Bengaluru-01.</i></td> <td align="center" data-bbox="1596 1133 1810 1318"><i>Member Secretary</i></td> </tr> <tr> <td align="center" data-bbox="1050 1318 1134 1399">3</td> <td data-bbox="1134 1318 1596 1399"><i>Principal Secretary / Secretary to Government,</i></td> <td align="center" data-bbox="1596 1318 1810 1399"><i>Member</i></td> </tr> </tbody> </table>	<b>Sl. No.</b>	<b>Designation and Address</b>	<b>Designation in the society</b>	1	<i>ACS/Principal Secretary / Secretary to Government, Planning, Programme Monitoring &amp; Statistics Department, M.S. Building, Bengaluru-01.</i>	<i>President</i>	2	<i>Chief Evaluation Officer, Karnataka Evaluation Authority, M.S. Building, Bengaluru-01.</i>	<i>Member Secretary</i>	3	<i>Principal Secretary / Secretary to Government,</i>	<i>Member</i>	The Modified list of Members of the Governing Body has been registered in the register of Co-operative Societies and the list has been published in the KEA Website.
<b>Sl. No.</b>	<b>Designation and Address</b>	<b>Designation in the society</b>													
1	<i>ACS/Principal Secretary / Secretary to Government, Planning, Programme Monitoring &amp; Statistics Department, M.S. Building, Bengaluru-01.</i>	<i>President</i>													
2	<i>Chief Evaluation Officer, Karnataka Evaluation Authority, M.S. Building, Bengaluru-01.</i>	<i>Member Secretary</i>													
3	<i>Principal Secretary / Secretary to Government,</i>	<i>Member</i>													

				<i>Finance Department (Expenditure) or nominee, Vidhana Soudha, Bengaluru-01.</i>		
			4	<i>ACS / Principal Secretary / Secretary to Government, Department of Personnel and Administrative Reforms or his nominee, Vidhana Soudha, Bengaluru-01.</i>	<i>Member</i>	
			5	<i>Principal Secretary to Government, Rural Development and Panchayat Raj Department, M.S. Building, Bengaluru-01.</i>	<i>Member</i>	
			6	<i>Director General, Administrative Training Institute, Mysore.</i>	<i>Member</i>	
			7	<i>Principal Secretary to Government, Women and Child Welfare Department, M.S. Building, Bengaluru-01.</i>	<i>Member</i>	
			8	<i>Principal Secretary to Government, Health and Family Welfare Department,</i>	<i>Member</i>	

			<i>Vikasa Soudha, Bengaluru-01.</i>	
		9	<i>Principal Secretary to Government, Social Welfare Department, Vikasa Soudha, Bengaluru-01.</i>	<i>Member</i>
		10	<i>Administrative Officer, Karnataka Evaluation Authority, M.S. Building, Bengaluru-01.</i>	<i>Treasurer</i>
		11	<i>Vice Chancellor, Azim Premji University, Bengaluru or Nominee.</i>	<i>Expert</i>
		12	<i>Prof. Madeshwaran, Institute of Social and Economic Change (ISEC), Bengaluru.</i>	<i>Expert</i>
		13	<i>Dr. H.N. Chanakya, Centre for Sustainable Technologies, IISC, Bengaluru.</i>	<i>Expert</i>
		14	<i>Prof. Abdul Aziz, visiting Professor, National Law School of India University, Bengaluru.</i>	<i>Expert</i>

		<i>Nominated members are at the pleasure of the President, Governing Body.</i>	
II. Modification to Rule 4 of Rules of the Karnataka Evaluation Authority (KEA)	<p>The Government, vide its order No. PD/8/EVN (2)/2011, Bangalore, dated 11/07/2011 has approved and issued the Rules and Regulations for the furtherance of the aims and objectives mentioned in the Memorandum of Association of Karnataka Evaluation Authority. Rule 4 provides for the members of the General Body. It states that the General Body shall consist of not more than 18 members. In addition to 15 members listed it provides for nomination of 4 persons as members at the pleasure of the Chairman, and also retains the founder members (7). The total number of members is 26. This exceeds the General Body number provided i.e. 18. Hence, Rule 4 is proposed to be altered.</p>	<p><i>The General Body approved the modification of Rule 4 of Rules of Karnataka Evaluation Authority to read as follows.</i></p> <p><i>“4. General Body:</i></p> <p><i>(1) General Body shall consist of not more than twenty-five members. The following will be the members of the Society and will constitute the General Body. The Chief Secretary to Government shall be the Chairman of the General Body. Ex-officio members may be permitted to be represented by their representatives in the General Body and other meetings, if they are not able to attend in person. The Chief Evaluation Officer shall be the Member-Secretary of the General Body. The General Body of the Society shall consist of the following members, namely: -</i></p>	<p>The Modified list of Members of the Governing Body has been registered in the register of Co-operative Societies and the list has been published in the KEA Website.</p>



		<i>Sl. No.</i>	<i>Designation and Address</i>	<i>Designation in the society</i>	
		1	<i>Chief Secretary to Government, Government of Karnataka, Vidhana Soudha, Bengaluru-01.</i>	<i>Chairman</i>	
		2	<i>ACS &amp; Development Commissioner, Government of Karnataka, Bengaluru-01.</i>	<i>Member</i>	
		3	<i>ACS/Principal Secretary/Secretary to Government, Planning, Programme Monitoring &amp; Statistics Department, M.S. Building, Bengaluru-01.</i>	<i>Member</i>	
		4	<i>Principal Secretary / Secretary to Government, Finance Department (Expenditure) or nominee, Vidhana Soudha, Bengaluru-01.</i>	<i>Member</i>	
		5	<i>Principal Secretary to Government, Rural Development and Panchayat Raj Department, M.S. Building, Bengaluru-01.</i>	<i>Member</i>	

		6	<i>Director Administrative General, Institute, Mysore. Training</i>	<i>Member</i>
		7	<i>Principal Secretary to Government, Health and Family Welfare Department, Vikasa Soudha, Bengaluru-01.</i>	<i>Member</i>
		8	<i>The Principal Secretary / Secretary to Government, Primary &amp; Secondary Education, M.S. Building, Bengaluru-01.</i>	<i>Member</i>
		9	<i>Principal Secretary/Secretary to Government, Horti culture Department, M.S. Building, Bengaluru-01.</i>	<i>Member</i>
		10	<i>Principal Secretary to Government, Social Welfare Department, Vikasa Soudha, Bengaluru-01.</i>	<i>Member</i>
		11	<i>Principal Secretary / Secretary to Government, Department of Personnel and Administrative Reforms or his nominee, Vidhana Soudha, Bengaluru-01.</i>	<i>Member</i>

		12	<i>Director, Fiscal Policy Institute, Near Panchamukhi Ganasha Temple, Kengeri Post, Bangalore-Mysore Road, Bengaluru-560 060</i>	<i>Member</i>
		13	<i>Director, Directorate of Economics &amp; Statistics, M.S. Building, Bengaluru-01.</i>	<i>Member</i>
		14	<i>Chief Evaluation Officer, Karnataka Evaluation Authority, M.S. Building, Bengaluru-01.</i>	<i>Member Secretary</i>
		15	<i>Administrative Officer, Karnataka Evaluation Authority, M.S. Building, Bengaluru-01.</i>	<i>Treasurer</i>
		<i>(2) Subject to sub-para (1) above, the following may be made members of the Society.</i>		
		16	<i>a. Two academicians with Special knowledge on Evaluation and research methodology nominated by the Chairman.</i>	<i>Member</i>
		17	<i>b. Any other eminent person with knowledge on Consultancy</i>	<i>Member</i>

			<i>procurement, evaluation methodology etc. and who can advance the cause of effective evaluation, nominated by the Chairman.</i>	
		18-20	<i>c. Representative of the Planning Commission (now NITI), UNDP, UNICEF nominated by the Chairman.</i>	<i>Member</i>
		21	<i>d. Retired IAS officers not below the rank of ACS nominated by the Chairman.</i>	<i>Member</i>
		22-25	<i>Any other members from the above categories as approved by Chairman, General Body.</i>	<i>Member</i>
			<i>e. Ex-officio members are members by virtue of their holding a post. No sooner a new incumbent joins a post, he becomes ex-officio member of the society, and the earlier one retires.</i>	
		<i>Nominated members are at the pleasure of the Chairman, General Body.”</i>		

		<p><i>As per the request of the Law Secretary it was resolved that the services of Principal Secretary/ Secretary to Government, Department of Law, Justice &amp; Human Rights may be sought when required and hence he/she may not be an ex-officio member in the General Body.</i></p>	
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**Action Taken Report on the decision taken in the 6<sup>th</sup> General Body Meeting of KEA**

Sl.No.	Subject of 6 <sup>th</sup> GB	Resolution passed by 6 <sup>th</sup> GB	Action Taken on the resolution of the 6 <sup>th</sup> GB
4. Report of Audit for 2018-19	<p>Audit Report of KEA for the financial year 2018-19, as prepared and audited by the CAG Empaneled Chartered Accountant M/s MKPS &amp; Associates, Bangalore was placed before the General Body.</p> <p>It was informed that the Governing Body, in its 18th Meeting, has approved Audit Report of FY 2018-19. The General Body perused and discussed the Audit Report.</p>	<p><i>General Body approved the Audit Reports of KEA for the financial year 2018-19.</i></p>	<p>Noted for guidance.</p>
5. Budget estimate for 2020-21	<p>Budget Estimates for FY 2020-21 were presented to the General Body.</p>	<p><i>After discussions, the General Body noted and approved the total budget estimate of Rs.2661.66304 Lakhs for the FY 2020-21.</i></p>	<p>Action is being taken as per approved budget.</p>
6. Report of Governing Body	<p>Proceedings of the 17<sup>th</sup>, 18<sup>th</sup>, 19<sup>th</sup> and 20<sup>th</sup> Meetings of Governing Body were placed before the General Body.</p>	<p><i>After detailed discussions, the General Body noted and adopted the Proceedings of 17<sup>th</sup>, 18<sup>th</sup>, 19<sup>th</sup> and 20<sup>th</sup> Meetings of Governing Body. Members noted the decisions taken by the Governing Body and approved the same.</i></p>	<p>Noted for guidance</p>
7. Report on Evaluation Studies	<p>Since inception KEA has conducted 137 evaluation studies through Evaluation Consultant Organizations. The studies have focused on Women's issues, Agriculture, livelihood and natural resources. Evaluation of schemes promoting human development are getting focus in recent years. The studies during 2019-20 are as follows:</p>	<p><b>Resolution</b></p> <p><i>The General Body noted the studies in progress as above and appreciated the collaboration with State and Foreign Universities in conducting evaluations.</i></p> <p><i>It was noted that 12 studies were delayed due to delay in furnishing the</i></p>	<p>Letters from ACS PPMS are written to all the Departments and Departments are being invited for review meetings where data issues are for discussion.</p>

	<table border="1" data-bbox="468 196 1079 345"> <thead> <tr> <th colspan="2"><b>Evaluation Studies (Up to Nov 2019)</b></th> </tr> </thead> <tbody> <tr> <td>Completed</td> <td>11</td> </tr> <tr> <td>Ongoing</td> <td>64</td> </tr> <tr> <td>Initiated</td> <td>38</td> </tr> </tbody> </table> <p data-bbox="485 386 1083 529">It was placed before the General Body that there was delay in completion of the evaluation studies vis a vis scheduled time, due to delay in furnishing of the data from the department.</p>	<b>Evaluation Studies (Up to Nov 2019)</b>		Completed	11	Ongoing	64	Initiated	38	<p data-bbox="1125 196 1644 558"><i>data from the line Department on an average the reports should be finalized within 6 month and 50% of study time period is spent in getting data from the line departments, despite letters from Addl. Chief Secretary to Govt. Planning, Programme Monitoring and Statistics Department and Chief Evaluation Officer, Karnataka Evaluation Authority and review in KDP meetings.</i></p> <p data-bbox="1125 602 1644 1068"><i>Hence, it was resolved to send circular from Chief Secretary, Government of Karnataka to the departments for giving the data at the time of giving proposal itself for the study and extend necessary support for field work at the local level. Further, Addl. Chief Secretary to Govt. PPMS Department suggested that Departments to be instructed to give the data to Karnataka Open Data Initiative (KODI) and the same to be obtained by KEA from KODI.</i></p>	<p data-bbox="1669 196 2005 302">Karnataka Open Data Initiative is yet to share the data with KEA.</p>
<b>Evaluation Studies (Up to Nov 2019)</b>											
Completed	11										
Ongoing	64										
Initiated	38										
<p data-bbox="142 1078 384 1219">8. Action Taken Reports on the Evaluation Reports</p>	<p data-bbox="485 1078 1083 1328">As per the decision of the 19<sup>th</sup> Governing Body meeting held on 03-08-2019, a Committee has been constituted under the Chairpersonship of Principal Secretary to Government, to review Action Taken Reports on Evaluation Studies vide G.O. No. PD 66 PSD 2019, dated:06-09-2019.</p> <p data-bbox="485 1333 1083 1399">The first meeting of the committee was held on 13-09-2019 and the action taken by the</p>	<p data-bbox="1125 1078 1644 1255"><i>General Body noted the same and suggested to send a letter from Chief Secretary, Govt. of Karnataka to the departments to submit the Action Taken Reports at the earliest.</i></p>	<p data-bbox="1669 1078 2005 1219">Due to continuous follow up action taken reports are received for 117 studies and only 22 are pending.</p> <p data-bbox="1669 1300 2005 1365">These Departments will be followed up</p>								

	Departments was reviewed. The ATRs for 91 studies were received. The departments who have not submitted the ATRs need to be instructed to submit report on the action taken on recommendations of the Evaluation studies.		intensively to collect the reports.
9. Additional Interventions to Monitor and Improve quality Reports.	<p>The Additional Interventions by KEA for quality enhancement were presented before the General Body</p> <ol style="list-style-type: none"> <li>1. Changes in RFP documents to get good quality organizations for the study.</li> <li>2. Experts for seeking guidance at various levels of studies.</li> <li>3. Preliminary Meetings with ECO and department before commencement of the studies.</li> <li>4. Review Meetings at various stages of the study are held with Consultants Organizations and the concerned departments</li> <li>5. Presentation of Inception Report, Interim Report and Draft Evaluation Report before KEA.</li> </ol> <p>KEA also informed the members about the next year action plan.</p> <ul style="list-style-type: none"> <li>• To focus on evaluation of major schemes and programmes having outlay above 100 crores per annum and schemes oriented towards people.</li> </ul>	<p><i>General Body appreciated the initiatives of KEA and noted the future plan of action. It was informed to KEA to send letters to all the Departments for sending study proposals and if the fund is not available with the Department, the study may be taken up through funding by KEA.</i></p>	<p>The letters are sent to the Departments and some Departments like Agriculture, forest KRIDL, Public Enterprises have shared the funds.</p> <p>Review meetings are conducted regularly for monitoring the progress. A Special Internship Programme was taken up for studying the problems and issues related to COVID-19 About 300 candidates participated in the webinars and about 200 students joined the programme. About 50 students have completed their assignments and others are in progress. KEA supported Planning Dept. in collaboration with KSTA in organizing a virtual workshop with expert team to draft</p>



	<ul style="list-style-type: none"> <li>• Collaboration with State Universities and Academic institutions to take up evaluation studies and thus bring Academics and Government on common platform for mutual benefit.</li> <li>• Improving the quality of the evaluation studies through workshops and training programmes for capacity building of the line Departments and Evaluation Consultant Organizations.</li> <li>• To take up in-house studies to build the capacity of young Research staff.</li> <li>• To strengthen Internship Programme for capacity building of young Post Graduate students and Research scholars</li> <li>• To integrate secondary and primary data in evaluation studies and data analytics for drawing scientific results for evidence-based policy, KEA will work with KODI, CODR and SDGCC</li> <li>• To initiate the process of preparation of Output - Outcome analysis framework document for Different Central and State Government Schemes</li> </ul>		<p>strategies and way forward for revival of the society and economy out of the pandemic.</p> <p>In house study on Concurrent evaluation of the Training Programme for Group C officers on Sustainable Development Goals at District and Taluka level In Kalyan Karnataka Region by ATI Mysore was taken up and based on the report the Training design, material and implementation process is modified.</p> <p>Another study on Concurrent monitoring and Evaluation Production and Marketing of Low cost Fortified Energy Food by SHGs in Kalburgi and Raichur District of Karnataka is in progress.</p> <p>KEA is working with CODR for data analysis.</p>
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ಕರ್ನಾಟಕ ಸರ್ಕಾರ

# ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರ

(ISO 9001:2015 ದಿಂದ ಮಾನ್ಯತೆ ಪಡೆದಿದೆ)

# 542, 5ನೇ ಮಹಡಿ, 2ನೇ ಹಂತ, ಬಹುಮಹಡಿಗಳ ಕಟ್ಟಡ, ಬೆಂಗಳೂರು - 560 001

ದೂರವಾಣಿ: 080 - 22353938, 22032561 ಫ್ಯಾಕ್ಸ್: 22283541

ಮಿಂಚಂಚೆ: keagok@karnataka.gov.in; ಜಾಲತಾಣ: kea.karnataka.gov.in



ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರ  
Karnataka Evaluation Authority

ಸಂಖ್ಯೆ: ಕೆಇಎ 47 ಆಡಳಿತ 2019

ದಿನಾಂಕ: 12-02-2020

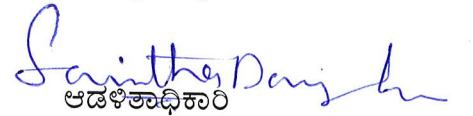
ವಿಷಯ: ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರದ ವಿಶೇಷ ಸಾಮಾನ್ಯ ಸಭೆಯ ಮತ್ತು 6ನೇ ಸಾಮಾನ್ಯ ಸಭೆಯ ನಡವಳಿಯನ್ನು ಕಳುಹಿಸುವ ಕುರಿತು.

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ಮೇಲ್ಕಂಡ ವಿಷಯಕ್ಕೆ ಸಂಬಂಧಿಸಿದಂತೆ, ದಿನಾಂಕ:05.02.2020 ರಂದು ಸರ್ಕಾರದ ಮಾನ್ಯ ಮುಖ್ಯ ಕಾರ್ಯದರ್ಶಿಗಳು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ ಇವರ ಅಧ್ಯಕ್ಷತೆಯಲ್ಲಿ ಜರುಗಿದ ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರದ ವಿಶೇಷ ಸಾಮಾನ್ಯ ಸಭೆಯ ಮತ್ತು 6ನೇ ಸಾಮಾನ್ಯ ಸಭೆಯ ನಡವಳಿಗಳ ಪ್ರತಿಯನ್ನು ಇದರೊಂದಿಗೆ ಲಗತ್ತಿಸಿ ಕಳುಹಿಸಲಾಗಿದೆ.

ಪಂದನೆಗಳೊಂದಿಗೆ,

ತಮ್ಮ ವಿಶ್ವಾಸಿ

  
ಆಡಳಿತಾಧಿಕಾರಿ

ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರ

## ಇವರಿಗೆ:

1. ಸರ್ಕಾರದ ಅಪರ ಮುಖ್ಯ ಕಾರ್ಯದರ್ಶಿಗಳು ಮತ್ತು ಅಭಿವೃದ್ಧಿ ಆಯುಕ್ತರು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ವಿಧಾನಸೌಧ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು
2. ಸರ್ಕಾರದ ಅಪರ ಮುಖ್ಯ ಕಾರ್ಯದರ್ಶಿಗಳು, ಯೋಜನೆ, ಕಾರ್ಯಕ್ರಮ ಸಂಯೋಜನೆ ಮತ್ತು ಸಾಂಖ್ಯಿಕ ಇಲಾಖೆ, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ಬಹುಮಹಡಿ ಕಟ್ಟಡ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು
3. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು, ಆರ್ಥಿಕ ಇಲಾಖೆ, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ವಿಧಾನಸೌಧ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು
4. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು ಗ್ರಾಮೀಣಾಭಿವೃದ್ಧಿ ಮತ್ತು ಪಂಚಾಯತ್ ರಾಜ್ ಇಲಾಖೆ, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ಬಹುಮಹಡಿ ಕಟ್ಟಡ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು
5. ಪ್ರಧಾನ ನಿರ್ದೇಶಕರು, ಆಡಳಿತ ತರಬೇತಿ ಸಂಸ್ಥೆ, ಮೈಸೂರು- ಸದಸ್ಯರು

6. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು, ಆರೋಗ್ಯ ಮತ್ತು ಕುಟುಂಬ ಕಲ್ಯಾಣ ಇಲಾಖೆ, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ವಿಕಾಸಸೌಧ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು
7. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು, ಪ್ರಾಥಮಿಕ ಮತ್ತು ಪ್ರೌಢ ಶಿಕ್ಷಣ ಇಲಾಖೆ, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ಬಹುಮಹಡಿ ಕಟ್ಟಡ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು
8. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ತೋಟಗಾರಿಕೆ ಇಲಾಖೆ, ಬಹುಮಹಡಿ ಕಟ್ಟಡ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು
9. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು, ಸಮಾಜ ಕಲ್ಯಾಣ ಇಲಾಖೆ, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ವಿಕಾಸಸೌಧ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು
10. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ಸಿಬ್ಬಂದಿ ಮತ್ತು ಆಡಳಿತ ಸುಧಾರಣಾ ಇಲಾಖೆ, ವಿಕಾಸಸೌಧ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು
11. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು/ಕಾರ್ಯದರ್ಶಿಗಳು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ, ಕಾನೂನು, ನ್ಯಾಯ ಮತ್ತು ಮಾನವ ಹಕ್ಕು ಇಲಾಖೆ, ವಿಧಾನಸೌಧ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು
12. ನಿರ್ದೇಶಕರು, ಫಿಸ್ಕಲ್ ಪಾಲಿಸಿ ಇನ್ಸ್ಟಿಟ್ಯೂಟ್, ಪಂಚಮುಖಿ ಗಣೇಶ ದೇವಸ್ಥಾನದ ಬಳಿ, ಕೆಂಗೇರಿ ಪೋಸ್ಟ್, ಬೆಂಗಳೂರು-ಮೈಸೂರು ರಸ್ತೆ, ಬೆಂಗಳೂರು - ಸದಸ್ಯರು
13. ನಿರ್ದೇಶಕರು, ಆರ್ಥಿಕ ಮತ್ತು ಸಾಂಖ್ಯಿಕ ನಿರ್ದೇಶನಾಲಯ, ನಂ.703, 7ನೇ ಮಹಡಿ, 1ನೇ ಹಂತ, ಬಹುಮಹಡಿ ಕಟ್ಟಡ, ಬೆಂಗಳೂರು- ಸದಸ್ಯರು
14. ಮುಖ್ಯ ಮೌಲ್ಯಮಾಪನಾಧಿಕಾರಿಗಳು, ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರ- ಸದಸ್ಯ ಕಾರ್ಯದರ್ಶಿ.

**ನಾಮ ನಿರ್ದೇಶಿತ ಸದಸ್ಯರು:**

1. ಶ್ರೀ ಎನ್.ಸಿ.ಮುನಿಯಪ್ಪ, ನಿವೃತ್ತ ಭಾ.ಆ.ಸೇ ಅಧಿಕಾರಿಗಳು, ಬೆಂಗಳೂರು.
2. ಡಾ|| ಹೇಮಾ ಸ್ವಾಮಿನಾಥನ್, ಅಧ್ಯಕ್ಷರು ಹಾಗೂ ಸಹ ಪ್ರಾಧ್ಯಾಪಕರು, ಸೆಂಟರ್ ಫಾರ್ ಪಬ್ಲಿಕ್ ಪಾಲಿಸಿ, ಇಂಡಿಯನ್ ಇನ್ಸ್ಟಿಟ್ಯೂಟ್ ಆಫ್ ಮ್ಯಾನೇಜ್‌ಮೆಂಟ್, ಬನ್ನೇರುಘಟ್ಟ, ಬೆಂಗಳೂರು-560076.
3. ಡಾ|| ಜ್ಯೋತ್ಸನಾ ರೂಾ, ನಿರ್ದೇಶಕರು, ಸೆಂಟರ್ ಫಾರ್ ಬಜೆಟ್ ಮತ್ತು ಪಬ್ಲಿಕ್ ಸ್ಟಡೀಸ್, ಮೈತ್ರಿ ಭವನ, ನಂ.4, ಎಮ್.ಎನ್. ಕೃಷ್ಣರಾವ್ ರೋಡ್, ಬಸವನಗುಡಿ, ಬೆಂಗಳೂರು-560004.

**ಪ್ರತಿಯನ್ನು** ಸರ್ಕಾರದ ಮುಖ್ಯ ಕಾರ್ಯದರ್ಶಿಯವರು, ಕರ್ನಾಟಕ ಸರ್ಕಾರ ಹಾಗೂ ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರದ ಸಾಮಾನ್ಯ ಮಂಡಳಿಯ ಮಾನ್ಯ ಅಧ್ಯಕ್ಷರು ಇವರ ಆಪ್ತ ಕಾರ್ಯದರ್ಶಿಯವರಿಗೆ ಕಳುಹಿಸುತ್ತಾ, ಮಾನ್ಯ ಮುಖ್ಯ ಕಾರ್ಯದರ್ಶಿಯವರ ಅವಗಾಹನೆಗೆ ತರಲು ಕೋರಿದೆ.

**Proceedings of Special General Body Meeting of Karnataka Evaluation Authority held on 5<sup>th</sup> February 2020 under the Chairmanship of Chief Secretary, Government of Karnataka.**

No. KEA 47 ADMN 2019, 5th February 2020

[List of participants as per Annexure]

Additional Chief Secretary, Planning, Program Monitoring and Statistics Department, Govt of Karnataka and President Governing Body, Karnataka Evaluation Authority welcomed the Chairman and Members of the General Body. A presentation was made about the issues on the agenda and discussions were taken up as per the agenda.

**I. Modification of Para 6 of Memorandum of Association of Karnataka Evaluation Authority**

The Government had vide its order No. PD 170 PSD 2018, Bangalore, dated 01/01/2019 reconstituted the Governing Body of Karnataka Evaluation Authority. In the reconstituted Governing Body, two academicians and one eminent person for consultancy have been added, while the 'treasurer' has been inadvertently omitted. Therefore, it is necessary to reconstitute the Governing Body to include Treasurer (who is the Administrative Officer of KEA). It is proposed to modify the existing para 6 of Memorandum of Association.

**Resolution:**

*The General Body approved to modify the existing Para 6 of Memorandum of Association (MoA) to read as follows*

*'the members of the Governing Body to whom by the rules of the society, the management of its affairs is entrusted are as shown below'.*

<b>Sl. No.</b>	<b>Designation and Address</b>	<b>Designation in the society</b>
1	ACS/Principal Secretary / Secretary to Government, Planning, Programme Monitoring & Statistics Department, M.S. Building, Bengaluru-01.	President
2	Chief Evaluation Officer, Karnataka Evaluation Authority, M.S. Building, Bengaluru-01.	Member Secretary
3	Principal Secretary / Secretary to Government, Finance Department (Expenditure) or nominee, Vidhana Soudha, Bengaluru-01.	Member



<i>Sl. No.</i>	<i>Designation and Address</i>	<i>Designation in the society</i>
4	<i>ACS / Principal Secretary / Secretary to Government, Department of Personnel and Administrative Reforms or his nominee, Vidhana Soudha, Bengaluru-01.</i>	<i>Member</i>
5	<i>Principal Secretary to Government, Rural Development and Panchayat Raj Department, M.S. Building, Bengaluru-01.</i>	<i>Member</i>
6	<i>Director General, Administrative Training Institute, Mysore.</i>	<i>Member</i>
7	<i>Principal Secretary to Government, Women and Child Welfare Department, M.S. Building, Bengaluru-01.</i>	<i>Member</i>
8	<i>Principal Secretary to Government, Health and Family Welfare Department, Vikasa Soudha, Bengaluru-01.</i>	<i>Member</i>
9	<i>Principal Secretary to Government, Social Welfare Department, Vikasa Soudha, Bengaluru-01.</i>	<i>Member</i>
10	<i>Administrative Officer, Karnataka Evaluation Authority, M.S. Building, Bengaluru-01.</i>	<i>Treasurer</i>
11	<i>Vice Chancellor, Azim Premji University, Bengaluru or Nominee.</i>	<i>Expert</i>
12	<i>Prof. Madeshwaran, Institute of Social and Economic Change (ISEC), Bengaluru.</i>	<i>Expert</i>
13	<i>Dr. H.N. Chanakya, Centre for Sustainable Technologies, IISC, Bengaluru.</i>	<i>Expert</i>
14	<i>Prof. Abdul Aziz, visiting Professor, National Law School of India University, Bengaluru.</i>	<i>Expert</i>

*Nominated members are at the pleasure of the President, Governing Body.*

## **II. Modification to Rule 4 of Rules of the Karnataka Evaluation Authority (KEA)**

The Government, vide its order No. PD/8/EVN (2)/2011, Bangalore, dated 11/07/2011 has approved and issued the Rules and Regulations for the furtherance of the aims and objectives mentioned in the Memorandum of

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Association of Karnataka Evaluation Authority. Rule 4 provides for the members of the General Body. It states that the General Body shall consist of not more than 18 members. In addition to 15 members listed it provides for nomination of 4 persons as members at the pleasure of the Chairman, and also retains the founder members (7). The total number of members is 26. This exceeds the General Body number provided i.e. 18. Hence, Rule 4 is proposed to be altered.

**Resolution:**

*The General Body approved the modification of Rule 4 of Rules of Karnataka Evaluation Authority to read as follows.*

*“4. General Body:*

*(1) General Body shall consist of not more than twenty-five members.*

*The following will be the members of the Society and will constitute the General Body. The Chief Secretary to Government shall be the Chairman of the General Body. Ex-officio members may be permitted to be represented by their representatives in the General Body and other meetings, if they are not able to attend in person. The Chief Evaluation Officer shall be the Member-Secretary of the General Body. The General Body of the Society shall consist of the following members, namely: -*

<b>Sl. No.</b>	<b>Designation and Address</b>	<b>Designation in the society</b>
1	Chief Secretary to Government, Government of Karnataka, Vidhana Soudha, Bengaluru-01.	Chairman
2	ACS & Development Commissioner, Government of Karnataka, Bengaluru-01.	Member
3	ACS/Principal Secretary/Secretary to Government, Planning, Programme Monitoring & Statistics Department, M.S. Building, Bengaluru-01.	Member
4	Principal Secretary / Secretary to Government, Finance Department (Expenditure) or nominee, Vidhana Soudha, Bengaluru-01.	Member
5	Principal Secretary to Government, Rural Development and Panchayat Raj Department, M.S. Building, Bengaluru-01.	Member
6	Director General, Administrative Training Institute, Mysore.	Member

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<b>Sl. No.</b>	<b>Designation and Address</b>	<b>Designation in the society</b>
7	<i>Principal Secretary to Government, Health and Family Welfare Department, Vikasa Soudha, Bengaluru-01.</i>	<i>Member</i>
8	<i>The Principal Secretary / Secretary to Government, Primary &amp; Secondary Education, M.S. Building, Bengaluru-01.</i>	<i>Member</i>
9	<i>Principal Secretary/Secretary to Government, Horticulture Department, M.S. Building, Bengaluru-01.</i>	<i>Member</i>
10	<i>Principal Secretary to Government, Social Welfare Department, Vikasa Soudha, Bengaluru-01.</i>	<i>Member</i>
11	<i>Principal Secretary / Secretary to Government, Department of Personnel and Administrative Reforms or his nominee, Vidhana Soudha, Bengaluru-01.</i>	<i>Member</i>
12	<i>Director, Fiscal Policy Institute, Near Panchamukhi Ganesha Temple, Kengeri Post, Bangalore-Mysore Road, Bengaluru-560 060</i>	<i>Member</i>
13	<i>Director, Directorate of Economics &amp; Statistics, M.S. Building, Bengaluru-01.</i>	<i>Member</i>
14	<i>Chief Evaluation Officer, Karnataka Evaluation Authority, M.S. Building, Bengaluru-01.</i>	<i>Member Secretary</i>
15	<i>Administrative Officer, Karnataka Evaluation Authority, M.S. Building, Bengaluru-01.</i>	<i>Treasurer</i>
<i>(2) Subject to sub-para (1) above, the following may be made members of the Society.</i>		
16	<i>a. Two academicians with Special knowledge on Evaluation and research methodology nominated by the Chairman.</i>	<i>Member</i>
17	<i>b. Any other eminent person with knowledge on Consultancy procurement, evaluation methodology etc. and who can advance the cause of effective evaluation, nominated by the Chairman.</i>	<i>Member</i>
18-20	<i>c. Representative of the Planning Commission (now NITI), UNDP, UNICEF nominated by the Chairman.</i>	<i>Member</i>

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<i>Sl. No.</i>	<i>Designation and Address</i>	<i>Designation in the society</i>
21	<i>d. Retired IAS officers not below the rank of ACS nominated by the Chairman.</i>	<i>Member</i>
22-25	<i>Any other members from the above categories as approved by Chairman, General Body.</i>	<i>Member</i>
	<i>e. Ex-officio members are members by virtue of their holding a post. No sooner a new incumbent joins a post, he becomes ex-officio member of the society, and the earlier one retires.</i>	

*Nominated members are at the pleasure of the Chairman, General Body."*

*As per the request of the Law Secretary it was resolved that the services of Principal Secretary/ Secretary to Government, Department of Law, Justice & Human Rights may be sought when required and hence he/she may not be an ex-officio member in the General Body.*

The Meeting ended with vote of thanks to all members.

(T M Vijaya Bhaskar)  
Chief Secretary to Government  
& Chairman, General Body  
Karnataka Evaluation Authority





**Proceedings of 6<sup>th</sup> Meeting of General Body of Karnataka Evaluation Authority held on 5<sup>th</sup> February 2020 under the Chairmanship of Chief Secretary, Government of Karnataka.**

No. KEA 47 ADMN 2019, 5th February 2020

[List of participants as per Annexure]

Additional Chief Secretary, Planning, Programme Monitoring and Statistics Department, Govt of Karnataka and President Governing Body, Karnataka Evaluation Authority welcomed the Chairman and Members of the General Body. A presentation was made about the issues in the agenda, and discussions were taken up as per the agenda.

**I. Confirmation of the proceedings of the 5<sup>th</sup> meeting of General Body**

***Resolution***

*Proceedings of the 5th Meeting of the General Body held on 12/10/2018 were read and confirmed.*

**II. Action Taken Report on decisions taken at the 5th Meeting of General Body**

***Resolution***

General Body perused and noted the Action Taken Report.

**III. Annual Report of KEA**

The Annual Report containing KEA initiated activities in 2018-19 as approved in the 18<sup>th</sup> meeting of Governing Body of KEA was placed before General Body. Focusing on new initiatives.

**New Initiatives**

- Internship programme in various departments of Government of Karnataka and at Zilla Panchyat and DC offices. 16 students have applied for Internship programme, 5 students have completed and submitted the project report. Certificate of completion of Internship have been issued to 3 students.
- Research and Evaluation-Capacity Building of State Universities  
The guidelines are prepared and 3 studies are initiated with the universities:
  1. Akka Mahadevi Women's University, Vijayapura
  2. Haas School of Business, University of California, Berkeley
  3. Kannada University, Hampi
- Revision of Manuals of KEA for accommodating changes in Evaluation process. Committee is formed as follows:

Sl. No.	Designation and Address	Designation
1	Chief Evaluation Officer, Karnataka Evaluation Authority	Chairperson
2	Additional Chief Evaluation Officer, Karnataka Evaluation Authority	Member
3	Nominee of Principal Secretary to Govt. Planning, Programme Monitoring and Statistics Department	Member
4	Nominee of Secretary/Principal Secretary to Government, Finance Department	Member
5	Nominee of Secretary/Principal Secretary to Government, Department of Personnel and Administrative Reforms	Member
6	Nominee of Secretary/Principal Secretary to Government, Law Department	Member
7	Administrative Officer	Member Secretary
8	Subject Experts	Member

- Sub committees of experts for giving policy inputs on the following:
  - Estimation of GSDP, Education, Employment and Skill Development, Women and Child Development and Estimation of Happiness Index for Karnataka.
- Strengthening of KEA with adequate human resource base by appointment of ACEO, Directors (2), Associate Directors (4) and Research Fellows (8).
- KEA participation and support to Planning department in preparation of SDG state report, Aspirational district programme and new initiatives of NITI Aayog and data analytics.

#### **Resolution**

*The members reviewed the various issues regarding evaluation studies and new initiatives of KEA and noted the progress.*

#### **IV. Report of Auditor for 2018-19**

Audit Report of KEA for the financial year 2018-19, as prepared and audited by the CAG Empaneled Chartered Accountant M/s MKPS & Associates, Bangalore was placed before the General Body.

It was informed that the Governing Body, in its 18th Meeting, has approved Audit Report of FY 2018-19. The General Body perused and discussed the Audit Report.

**Resolution**

*General Body approved the Audit Reports of KEA for the financial year 2018-19.*

**V. Budget estimate for 2020-21**

Budget Estimates for FY 2020-21 were presented to the General Body.

**Resolution**

*After discussions, the General Body noted and approved the total budget estimate of Rs.2661.66304 Lakhs for the FY 2020-21.*

**VI. Report of Governing Body**

Proceedings of the 17<sup>th</sup>, 18<sup>th</sup>, 19<sup>th</sup> and 20<sup>th</sup> Meetings of Governing Body were placed before the General Body.

**Resolution**

*After detailed discussions, the General Body noted and adopted the Proceedings of 17<sup>th</sup>, 18<sup>th</sup>, 19<sup>th</sup> and 20<sup>th</sup> Meetings of Governing Body. Members noted the decisions taken by the Governing Body and approved the same.*

**VII. Report on Evaluation Studies**

Since inception KEA has conducted 137 evaluation studies through Evaluation Consultant Organizations. The studies have focused on Women's issues, Agriculture, livelihood and natural resources. Evaluation of schemes promoting human development are getting focus in recent years. The studies during 2019-20 are as follows:

<b>Evaluation Studies (Up to Nov 2019)</b>	
Completed	11
Ongoing	64
Initiated	38

It was placed before the General Body that there was delay in completion of the evaluation studies vis a vis scheduled time, due to delay in furnishing of the data from the department.

### **Resolution**

*The General Body noted the studies in progress as above and appreciated the collaboration with State and Foreign Universities in conducting evaluations.*

*It was noted that 12 studies were delayed due to delay in furnishing the data from the line Department on an average the reports should be finalized within 6 month and 50% of study time period is spent in getting data from the line departments, despite letters from Addl. Chief Secretary to Govt. Planning, Programme Monitoring and Statistics Department and Chief Evaluation Officer, Karnataka Evaluation Authority and review in KDP meetings.*

*Hence, it was resolved to send circular from Chief Secretary, Government of Karnataka to the departments for giving the data at the time of giving proposal itself for the study and extend necessary support for field work at the local level. Further, Addl. Chief Secretary to Govt. PPMS Department suggested that Departments to be instructed to give the data to Karnataka Open Data Initiative (KODI) and the same to be obtained by KEA from KODI.*

### **VIII. Action Taken Reports on the Evaluation Reports**

As per the decision of the 19<sup>th</sup> Governing Body meeting held on 03-08-2019, a Committee has been constituted under the Chairpersonship of Principal Secretary to Government, to review Action Taken Reports on Evaluation Studies vide G.O. No. PD 66 PSD 2019, dated:06-09-2019.

The first meeting of the committee was held on 13-09-2019 and the action taken by the Departments was reviewed. The ATRs for 91 studies were received. The departments who have not submitted the ATRs need to be instructed to submit report on the action taken on recommendations of the Evaluation studies.

### **Resolution**

*General Body noted the same and suggested to send a letter from Chief Secretary, Govt. of Karnataka to the departments to submit the Action Taken Reports at the earliest.*

### **IX. Additional Interventions to Monitor and Improve quality Reports.**

The Additional Interventions by KEA for quality enhancement were presented before the General Body

1. Changes in RFP documents to get good quality organizations for the study.

2. Experts for seeking guidance at various levels of studies.
3. Preliminary Meetings with ECO and department before commencement of the studies.
4. Review Meetings at various stages of the study are held with Consultants Organizations and the concerned departments
5. Presentation of Inception Report, Interim Report and Draft Evaluation Report before KEA.


KEA also informed the members about the next year action plan.

- To focus on evaluation of major schemes and programmes having outlay above 100 crores per annum and schemes oriented towards people.
- Collaboration with State Universities and Academic institutions to take up evaluation studies and thus bring Academics and Government on common platform for mutual benefit.
- Improving the quality of the evaluation studies through workshops and training programmes for capacity building of the line Departments and Evaluation Consultant Organizations.
- To take up in-house studies to build the capacity of young Research staff.
- To strengthen Internship Programme for capacity building of young Post Graduate students and Research scholars
- To integrate secondary and primary data in evaluation studies and data analytics for drawing scientific results for evidence-based policy, KEA will work with KODI, CODR and SDGCC
- To initiate the process of preparation of Output - Outcome analysis framework document for Different Central and State Government Schemes

### ***Resolution***

*General Body appreciated the initiatives of KEA and noted the future plan of action. It was informed to KEA to send letters to all the Departments for sending study proposals and if the fund is not available with the Department, the study may be taken up through funding by KEA.*

The Meeting ended with vote of thanks to all members.

  
(T M Vijay Bhaskar)  
Chief Secretary to Government  
& Chairman, General Body  
Karnataka Evaluation Authority



**Members present:**

1. Chief Secretary to Government & Chairman, General Body, Karnataka Evaluation Authority.
2. Dr. Shalini Rajneesh, Addl. Chief Secretary to Govt. Planning, Programme Monitoring and Statistics Department
3. Shri. L.K. Atheeq, Principal Secretary to Govt. Rural Development and Panchayat Raj Department, M.S. Building, Bengaluru-560 001
4. Shri. S. B. Vastramath, Principal Secretary to Govt. Law Justice and Human Rights Department, Ground Floor, Vidhana Soudha, Bangalore 560001, Karnataka
5. Shri. Kumar Naik, Principal Secretary to Govt. Social Welfare Department, Vikasa Soudha, Bangalore 560001, Karnataka
6. Shri. Rajender Kumar Kataria, Secretary to Govt. Horticulture Department, Bengaluru
7. Smt. Lakshmi, Deputy Secretary-4, Finance Department, Vidhana Soudha, Bengaluru-560 001
8. Smt. Vasundara Devi, Director, Directorate of Economics & Statistics, M. S. Building, Bengaluru- 560 001
9. Dr. Jyotsna Jha, Director, Centre for Budget and Policy Studies, Maitri Bhavan, Number 4, M.N.Krishna Rao Road, Basavangudi, Bangalore-560 004
10. Shri. S.K. Choudhary, Director, Fiscal Policy Institute, Kengeri Post, Bangalore-Mysore Road, Bangalore- 560 060
11. Director, Department of Health and Family Welfare Services, Bengaluru
12. Under Secretary to Govt. Primary and Secondary Education Department, M.S. Building, Bengaluru-560 001
13. Shri. Sudarshan G.A, Chief Evaluation Officer, Karnataka Evaluation Authority, M.S. Building, Bengaluru-560 001
14. Dr. Chaya.K. Degaonkar, Addl. Evaluation Officer, Karnataka Evaluation Authority, M.S. Building, Bengaluru-560 001
15. Dr. A.V. Manjunath, Director (Evaluation), Karnataka Evaluation Authority, Bengaluru.
16. Savitha Dayananda, Administrative Officer, Karnataka Evaluation Authority, M.S. Building, Bengaluru-560 001.





## Independent Auditor's Report

### To the Members of the General Body

#### Report on the Financial Statements

1.01 We have audited the accompanying financial statements of Karnataka Evaluation Authority ("the Authority") which comprise the Balance Sheet as at March 31, 2020, Statement of Schemes Evaluation Expenditure and Receipts for the year ended and a summary of significant accounting policies and other explanatory information.

#### Management's Responsibility for the Financial Statements

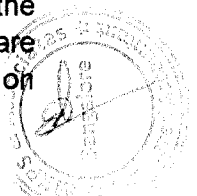
2.01 The Authority's Governing Board is responsible for the preparation of these financial statements that give a true and fair view of the financial position and financial performance of the Authority in accordance with the accounting principles generally accepted in India, including the Accounting Standards prescribed by the Institute of Chartered Accountants of India, to the extent they are applicable to the Authority. This responsibility also includes maintenance of adequate accounting records in accordance with the provisions of the relevant Act under which the Authority is set up for safeguarding the assets of the Authority and for preventing and detecting frauds and other irregularities; selection and application of appropriate accounting policies; making judgments and estimates that are reasonable and prudent; and design, implementation and maintenance of adequate internal financial controls, that were operating effectively for ensuring the accuracy and completeness of the accounting records, relevant to the preparation and presentation of the financial statements that give a true and fair view and are free from material misstatement, whether due to fraud or error.

#### Auditor's Responsibility

3.01 Our responsibility is to express an opinion on these financial statements based on our audit.

3.02 We conducted our audit in accordance with the Standards on Auditing issued by the Institute of Chartered Accountants of India. Those Standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

3.03 An audit involves performing procedures to obtain audit evidence about the amounts and the disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the Auditor considers internal financial control relevant to the Authority's preparation to the financial statements that give a true and fair view in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on



effectiveness of the Authority's internal control. An audit also includes evaluating the appropriateness of the accounting policies used and the reasonableness of the accounting estimates made by the Authority's Governing Board, as well as evaluating the overall presentation of the financial statements.

3.04 We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion on the financial statements.

### **Opinion**

4.01 In our opinion and to the best of our information and according to the explanations given to us, financial statements of the Authority for the year ended 31<sup>st</sup> March, 2020 give the information required in the manner so required and give a true and fair view in conformity with the accounting principles generally accepted in India subject to following cash system of accounting which is in line with the practice in the Government, instead of commonly followed practice of accrual basis.

- a) In the case of the statement of affairs, of the position of the liabilities and assets of the authority as at March 31, 2020
- b) In the case of the Statement of Schemes Evaluation Expenditure, of the expenditure for the year ended on that date.
- c) In the case of the Statement of Receipts and Payments, of the Receipts and Payment for the year ended on that date.

### **Other Matters**

5.01 We draw attention to following matters contained in the notes on accounts under Schedule 10:

#### **B. Notes on accounts –**

a) Note 3.01 relating to preparation of Schemes Evaluation Expenditure statement instead of the conventional Income & Expenditure Account, in a view of Authority being a non-profit entity with the primary objective of evaluation of Government policies, programs and schemes, which is having no source of Income other than the schemes evaluation advances/funds provided by the GOK through the various line departments.

b) Note 3.06 relating to interest accruals: Interest of Rs. 84,89,000.00 being added to the general schemes evaluation advance received from the GOK, due to practical difficulties in allocating the same between the general and specific schemes evaluation advance received from the GOK/line departments.

c) Note 4.01 relating to fixed assets, register is updated and physical verification of fixed assets is done.

d) We observed that Income Tax Department vide letter No.17/CIT (TDS)/ 2016-17 dated:14.12.2016 intimated that there is short payment of Income Tax for the period from 2011-12 to 2015-16 amounting to Rs.3,34,920/-. This needs to be cross verified with the respective agencies to ascertain that they have paid the tax for the payments received from KEA & to take further action. The details are appended to audit report.

5.02 Our opinion is not modified in respect of the above matters.

## Report on other Legal and Regulatory Requirements

6. We report that:

a) We have obtained all the information and explanations are to the best of our knowledge and belief were necessary for the purpose of our audit;

b) In our opinion, proper books of account as required by law have been kept by the authority so far as it appears from our examination of those books;

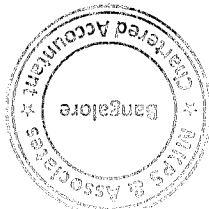
c) The Statement of Affairs, Statement of Schemes Evaluation Expenditure and Receipt & Payment dealt with by this report are in agreement with the books of account;

d) We have checked that the KEA is an ISO certified company and maintaining the records as per ISO standards.

e) In our opinion, the Balance Sheet and the Schemes Evaluation Expenditure comply with the Accounting Standards prescribed by the Institute of Chartered Accountants of India to the extent applicable to the Authority, as a special purpose vehicle for evaluation of Government Sponsored Programs/Schemes, by following cash system of accounting as is the practice in the Government, instead of the commonly followed practice of accrual basis.

Place: Bengaluru

Date: 22.07.2020



Karnataka Evaluation Authority  
# 542, 5th Floor, 2nd Stage  
M S Building, Dr. Ambedkar Veedhi,  
Bangalore 560 001.

Statement of Affairs as at 31st March 2020

Particulars	Sch. Ref.	31st March 2020	31st March 2019
<b>Sources of Fund</b>			
Fixed Asset Fund	1	49,75,125.00	13,43,534.00
<b>Total</b>		<b>49,75,125.00</b>	<b>13,43,534.00</b>
<b>Application of Funds</b>			
Fixed Assets	2		
<b>Net Block</b>		<b>49,75,125.00</b>	<b>13,43,534.00</b>
<b>Current Assets, Loans &amp; Advance</b>			
Cash and Bank Balances	3	7,73,10,429.00	8,81,01,919.51
Other Advances	4	-	-
Other Receivables	5	-	-
<b>Sub Total</b>		<b>7,73,10,429.00</b>	<b>8,81,01,919.51</b>
Less: Current Liabilities & Provisions			
Schemes Evaluation Advance	6	7,73,10,429.00	8,80,18,161.51
Other Liabilities		-	83,758.00
<b>Sub Total</b>		<b>7,73,10,429.00</b>	<b>8,81,01,919.51</b>
<b>Net Current Assets</b>			
<b>Total</b>			
Significant Accounting Policies & Notes on Accounts			
<b>Total</b>			

For Karnataka Evaluation Authority

*Sudarshan G A*  
(Sudarshan G A)  
Chief Evaluation Officer  
Karnataka Evaluation Authority  
Karnataka Secretary

*Sub: The Govt*  
M.NO 231099



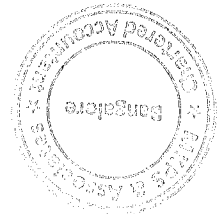
Place: Bangalore  
Date: 22.07.2020

Karnataka Evaluation Authority  
# 542, 5th Floor, 2nd Stage  
M S Building, Dr. Ambedkar Veedhi,  
Bangalore 560 001

**Receipts and Payments Accounts for the year ended 31st March 2020**

Receipts	Amount	Payments	Amount
<b>Opening Balance</b>		Purchase of Fixed Assets	51,16,151.00
- State Bank of India	1,09,655.50		
- MOD and Fixed Deposits	8,79,89,376.01	<b>Scheme Evaluation Expenses</b>	
- Cash in Hand	2,888.00	Scheme Evaluation Expenses	2,40,70,853.51
		Expenditure to CODR	2,00,00,000.00
Grant Received from Government	5,94,00,000.00		
Deposit form Public Enterprises	30,00,000.00	<b>Administrative Expenses</b>	
Interest on bank deposits	84,89,000.00	Audit Fees	74,539.00
Application fees from Organisations	27,788.00	Salaries & Allowances	77,52,010.00
Other Receipts	1,60,480.00	Salaries - Outsourced Staff	78,81,327.00
Deposits from Planning Board	40,00,000.00	Meeting Expenses (KEA)	2,89,061.00
Deposits From - Horticulture Department	24,89,201.00	Newspaper & Periodicals	4,600.00
EMD	8,40,000.00	Goods & Service Tax	5,33,245.00
		Postage Expenses	32,225.00
		Notification Expenses	3,13,285.00
		Centre for smart Governance (DSS)	79,49,106.00
		Printing & Stationary	4,11,979.00
		Telephone Charges	92,365.00
		Office Expenses	1,24,731.00
		Sitting Fees	1,93,380.00
		Vehicle Maintenance	2,67,770.00
		Vehicle Hire Charges	11,27,031.00
		Refund of EMD	3,30,000.00
		Medical Reimbursement	2,168.00
		Workshop & Training Program	5,03,643.00
		Renewal of KEA Society	64,450.00
		Bank Charges	6,353.00
		Salary SDG	13,50,667.00
		SDG Expenses	17,07,020.00
		Workshop & Training Program (ATI Mysore)	90,00,000.00
		<b>Closing Balance</b>	
		- State Bank of India	-
		- MOD and Fixed Deposits	7,72,97,194.00
		- Cash in Hand	13,235.00
	<b>16,65,08,388.51</b>		<b>16,65,08,388.51</b>

*Subodh K. J.*  
M. No. 231699



**Karnataka Evaluation Authority**  
**# 542, 5th Floor, 2nd Stage**  
**M S Building, Dr. Ambedkar Veedhi,**  
**Bangalore 560 001.**

**Schemes Evaluation Expenditure Statement for the year ended 31st March 2020**

Particulars	31st March 2020	31st March 2019
Audit Fees	77,899.00	35,000.00
Bank Charges	6,382.50	3,716.55
Consutancy Charges	-	76,700.00
Decision Support System of Planning Department	52,99,404.00	53,38,320.00
Legal & Professional Charges	-	14,160.00
Expenditure to CODR	2,00,00,000.00	-
Meeting Expenses	3,57,511.00	6,21,737.00
News Papers & Periodicals	4,600.00	17,554.00
Notification Expenses	3,08,952.00	38,14,882.00
Office Expenses	2,55,460.00	1,85,966.00
SDG Expenses	13,03,342.44	1,75,000.00
Printing & Stationary	4,54,860.00	3,28,645.00
Refund of EMD	75,000.00	1,87,000.00
Renewal of KEA Society	64,450.00	41,500.00
Salary - Outsourced Staff	91,60,825.00	67,94,392.00
Salary & Allowances	77,41,716.00	41,29,122.00
Scheme Evaluation Expenses	2,74,15,945.67	3,96,10,207.00
Telephone Charges	92,365.00	98,797.00
Travelling Expenses	-	2,69,170.00
Vehicle Hire Charges	12,14,938.00	10,15,590.00
Vehicle Maintenance	2,89,046.00	2,96,595.00
Medical Reimbursement	2,168.00	13,927.00
Postage Expenses	32,225.00	-
Sitting Fees	3,27,879.90	-
Working & Training Program (ATI Mysore)	90,00,000.00	-
Working & Training Program	4,85,968.00	-
<b>Total</b>	<b>8,39,70,937.51</b>	<b>6,30,67,980.55</b>

For Karnataka Evaluation Authority

*Sudarshan GA*  
(Sudarshan G A)  
**Chief Evaluation Officer**  
**Karnataka Evaluation Authority**  
**Member Secretary**

*Prakash Kumar*  
M NO 231099



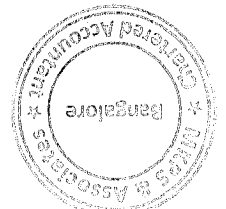
Place: Bangalore  
Date: 29.04.2019

Karnataka Evaluation Authority  
# 542, 5th Floor, 2nd Stage  
M S Building, Dr. Ambedkar Veedhi,  
Bangalore 560 001.

Schedule to Balance Sheet as at 31st March 2020

Particulars	31st March 2020	31st March 2019
<b>Schedule 1:</b>		
Fixed Asset Fund		
Opening Balance	13,43,534.00	14,07,072.00
Add: Evaluation Scheme Advance Utilised for Fixed Assets Purchase	51,43,264.00	1,63,824.00
<b>Sub Total</b>	<b>64,86,798.00</b>	<b>15,70,896.00</b>
Less: Withdrawn towards Depreciation on Assets	15,11,673.00	2,27,362.00
<b>Total</b>	<b>49,75,125.00</b>	<b>13,43,534.00</b>
<b>Schedule 3:</b>		
Cash and Bank Balance		
Cash on Hand	13,235.00	2,888.00
Balance in MOD A/c & FD with SBI	7,72,97,194.00	8,80,99,031.51
Deposit with SBI	-	-
<b>Total</b>	<b>7,73,10,429.00</b>	<b>8,81,01,919.51</b>
<b>Schedule 4:</b>		
<b>Other Advances</b>		
Opening Balance	-	-
<b>Total</b>	-	-
<b>Schedule 5:</b>		
Other Receivables	-	-
<b>Schedule 6:</b>		
<b>Schemes Evaluation Advances (KEA Funded)</b>		
<b>Opening Balance</b>	8,80,18,161.51	11,56,53,320.06
Add: Grant Received from Government (Towards KEA Activities & Expenditure)	5,94,00,000.00	1,60,00,000.00
Add: Grant Received from Horticulture Department	24,89,201.00	1,02,21,763.00
Interest Received	84,89,000.00	69,82,138.00
Department of Public Enterprises	30,00,000.00	-
Deposit from Planning Board	40,00,000.00	-
Other Receipts/Adjustments	10,28,268.00	28,750.00
Prior Period Adjustments (Opening Balance)	-	23,63,995.00
<b>Sub Total</b>	<b>16,64,24,630.51</b>	<b>15,12,49,966.06</b>
Interest paid to Government	-	-
Amounts released to ZPs for HDD Report Preparation & Printing	-	-
Workshops, Training, Seminar & Meeting Expenses (HDD Report Preparation)	-	-
Less: Expenditure Met Out of Advance	8,39,70,937.51	6,30,67,980.55
Less: Printing of 12th Five Year Plan Document of Govt. of Karnataka	-	-
Less: Human Development Performance of Districts & Taluks and Contribution of Urban Local Bodies in Karnataka 2014 - A Snapshot	-	-
Review of District Human Development (DHD) Reports	-	-
Less: Translation of DHD Reports	-	-
Less: Expenditure Not Considered in the Previous Year	-	-
Less: Utilised for Purchase of Fixed Assets	51,43,264.00	1,63,824.00
Less: UNDP Program - Short Reimbursement charged off as Authority Expenditure	-	-
<b>Sub Total</b>	-	-
<b>Closing Balance (A)</b>	<b>7,73,10,429.00</b>	<b>8,80,18,161.51</b>

*[Handwritten Signature]*  
MNO231099

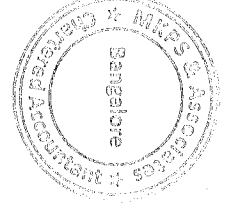




**Karnataka Evaluation Authority**  
**# 542, 5th Floor, 2nd Stage**  
**M S Building, Dr. Ambedkar Veedhi,**  
**Bangalore 560 001**

**Schedule 2: Fixed Assets & Depreciation**

Particulars	WDV as on 01.04.2019	Additions	Deletions	Total	Rate (%)	Depreciation For the year	WDV as on 31.03.2020
Airconditioner	40,103.00	-	-	40,103.00	0.15	6,015.00	34,088.00
Furniture & Fixture	6,35,999.00	-	-	6,35,999.00	0.10	63,600.00	5,72,399.00
Xerox Machine	1,67,148.00	-	-	1,67,148.00	0.15	25,072.00	1,42,076.00
Motor Car	3,30,646.00	-	-	3,30,646.00	0.15	49,597.00	2,81,049.00
Vaccum Cleaner	8,121.00	-	-	8,121.00	0.15	1,218.00	6,903.00
Computers	58,644.00	41,54,780.00	-	42,13,424.00	0.40	11,33,076.00	30,80,348.00
Printer	39,841.00	9,88,484.00	-	10,28,325.00	0.40	2,23,640.00	8,04,685.00
Mobile Phone	56,035.00	-	-	56,035.00	0.15	8,405.00	47,630.00
Waterpurifier	6,997.00	-	-	6,997.00	0.15	1,050.00	5,947.00
<b>Total</b>	<b>13,43,534.00</b>	<b>51,43,264.00</b>	<b>-</b>	<b>64,86,798.00</b>		<b>15,11,673.00</b>	<b>49,75,125.00</b>



*Sub. H. H. H.*  
MNO 2510 99

**Annexure**  
**Statement of demand**

**Ref para: B (d)**

Sl No	Financial Year	Quarter	Form Type	Amount (in Rs)
1	2011-12	Q4	24Q	330
2	2012-13	Q4	24Q	640
3	2012-13	Q4	26Q	5,480
4	2013-14	Q1	24Q	62,080
5	2013-14	Q1	26Q	1,81,770
6	2013-14	Q2	26Q	10,140
7	2013-14	Q3	26Q	10,390
8	2013-14	Q4	24Q	45,680
9	2013-14	Q4	26Q	1,000
10	2014-15	Q1	26Q	370
11	2015-16	Q3	24Q	50
			Interest	16,990
			Total	3,34,920